

NOTICE TO CUSTOMERS OF
THE SWETS GROUP OF COMPANIES
(OTHER THAN SWETS INFORMATON SERVICES B.V.)

Amsterdam, the Netherlands,
14 November 2014

Introduction

This notice is addressed to and meant for customers of the Swets Group, which have a contractual relationship with entities of the Swets Group, other than Swets Information Services B.V. ("SIS").

Previous notice in the bankruptcy proceedings of SIS

Reference is being made to the previous notice of the trustee in bankruptcy proceedings of SIS of 31 October 2014 (as published on www.cms-dsb.com/swets). In this notice of the trustee in bankruptcy of SIS informed that only information requests of **customers of SIS** could be processed at that time.

To facilitate information requests from customers of other entities of the Swets Group (i.e. other than SIS), this notice contains important information relating to the conditions for obtaining information by this category of customers as well as the procedure to be followed for this purpose.

Customers of entities of the Swets Group (other than SIS) are encouraged to read this notice carefully and subscribe to the electronic information service as referred to in the notice of 23 October 2014 (see also www.cms-dsb.com/swets).

Information to be made available

A dedicated project team has investigated which information can be made available from the IT-systems of SIS for the benefit of customers of entities of the Swets Group (other than SIS).

Upon request the following information can be provided:

A	CID	Customer number; internally used and stated on each invoice
B	Billing Name	
C	Billing Subname	
D	Billing Street	
E	Billing Zipcode	
F	Billing City	
G	Billing Zipcode	
H	Billing Country	
I	Shipping Name	
J	Shipping Subname	
K	Shipping Street	
L	Shipping Zipcode	
M	Shipping City	
N	Shipping Zipcode	
O	Shipping Country	
P	Title	Title of each subscription
Q	Publication Type	
R	Frequency	
S	Subscription	Subscription number
T	Subscription status	"Active" if subscription is ongoing, "Cancelled" if subscription is terminated and "Not-renewed" if current subscription is ongoing but not renewed for the following subscription period
U	Supply Direct Y/N	"Y" if customer is being supplied by the relevant publisher(s) directly and "N" if the customer is being supplied through (a third party engaged by) Swets Information Services B.V.
V-Y	Reference	Reference of the customer (if provided and available); option to provide four different references
Z	Publisher reference print	Reference of the publisher regarding subscriptions on printed journals and books
AA	Publisher reference electronic	Reference of the publisher regarding subscriptions on digital journals and books
AB	Publisher Name	
AC	Publisher Subname	
AD	Publisher Street	
AE	Publisher Zipcode	
AF	Publisher City	
AG	Publisher Zipcode	
AH	Publisher Country	
AI	Subscription Start	Starting date of the relevant subscription
AJ	Subscription expiration date	End date of the relevant subscription
AK	Paid until	Please see the explanation below
AL	Publisher Invoice	Number of the invoice of the relevant publisher
AM	Publisher Invoice Date	Date of the invoice of the relevant publisher

Explanation

Columns A through AJ contain general information regarding subscriptions running in 2014.

In respect of the columns AK, AL and AM some more explanation is provided by means of the following example:

If you have a subscription on a journal or a bookserie you may have received one invoice for the subscription period (invoice relating to 12 months). The corresponding amount to be paid to the publisher by the relevant Swets entity regarding this specific journal or bookserie may have been split in two invoices of the publisher to such entity.

For example, in respect of annual subscriptions the relevant Swets entity received two invoices from a publisher (each invoice relating to 6 months). As a result it may be that your subscription period has not yet been completely paid to the publisher by the relevant Swets entity.

Column AK provides information regarding the date until the relevant Swets entity has made payments to the relevant publisher, e.g. if the last invoice of a publisher which actually has been paid by the relevant Swets entity relates to the period 1 January 2014 – 30 June 2014, the date of 30 June 2014 is included in that column. In columns AL and AM the relevant reference details of that specific invoice have been included.

To avoid misunderstandings, Column AK does not include information regarding the last invoice sent by the publisher to the relevant Swets entity. Any outstanding invoices of publishers against the relevant Swets entity are not specifically included in the overview. The overview solely provides information regarding invoices which actually have been paid by the relevant Swets entity to the relevant publishers.

Please note that the information referred to above is only available regarding the subscription period 2014/2015. Information in respect of previous subscription and invoice periods is not available. If no information is included in Columns AK, AL and AM no invoices may have been sent by the relevant publishers to the relevant Swets entity (e.g. because no new editions have been published) or no invoices have been paid by the relevant Swets entity to the relevant publishers.

For your information, an example of the information which will be provided by means of an Excel file is attached to this notice as [Annex 1](#). Please note that only

the information as set out in Annex 1 is available. It is therefore not possible to provide any other or additional information.

Disclaimer and exclusion of liability

The information to be provided is the information as currently available on the IT-systems of SIS. The information will be made available on "as is basis" without any further verification (as, e.g., relating to completeness, accurateness) of the content thereof or any representation or warranty, of whatever nature, of (the estate of) SIS.

Any and all liability of (the estate of) SIS, the trustee in bankruptcy of Swets or Mr Groenewegen as a natural person in relation to the (content and nature of the) information or the method of providing this information is explicitly excluded.

Applicable service fee for receipt of information for customers; no refunds

With the approval of the supervisory judge (*rechter-commissaris*) in the bankruptcy proceedings of SIS, the estate of SIS demands upfront payment of a non refundable service fee by each customer of the Swets Group (other than SIS) prior to the information being provided to such customer as a contribution toward costs incurred by the estate of SIS for preparing and providing the information to this category of customers (the "**Fee**").

The Fee consists of a flat rate amount of EUR 2,500 (excluding, where applicable, 21% Dutch VAT).

After receipt of the relevant information request from such customer, an invoice will be made up and sent as soon as possible.

Invoices will only be sent electronically and will only become final after receipt of the Fee by the estate of SIS. The Fee as invoiced needs to be paid **upfront** by way of (electronic) transfer to the bank account (estate account of SIS) as referred to in the invoice. Payment cannot be effected by way of set off or compensation with a claim of a customer against SIS.

Each Fee paid to (the estate of) SIS is non refundable.

Information request: procedure and applicable timeframe

Each customer of the Swets Group (other than SIS) that would like to receive the information as referred to above and set out in Annex 1, needs to follow the

procedure as set out below:

- A request should **ONLY** be sent by e-mail to **customerinformationrequests@nl.swets.com**;
- A request should be received by the estate of SIS **ultimately by Thursday 20 November 2014, 5 pm (CET)**;
- A request will only be processed if the Fee has been received by the estate of SIS. A customer therefore should provide for a simultaneous payment whilst sending the information request;
- In order to speed up the process each customer is requested to attach a **proof of payment** to the e-mail containing the information request or to send such proof immediately after having sent the information request and provide the estate of SIS also with a **valid VAT number** (to enable the estate of SIS – where applicable - to invoice the Fee without adding 21% Dutch VAT);
- Payment of the Fee should be received by the estate of SIS **ultimately by Monday 24 November 2014, 5 pm (CET)**, failing which an information request cannot not be processed anymore;
- A request for information will only have to include the following information:
 - Company
 - CID (customer identification number; see subject line of this email and on previous invoices)
 - First Name
 - Last Name
 - Postal Address
 - Postal Code
 - City
 - Country
 - Phone Number
 - E-mail Address
 - VAT number
 - Subscription number (please provide (one of) your subscription number(s) as stated on previous invoices; please note that one subscription number is sufficient)
 - Invoice number (please provide (one of) your invoice number(s) as stated on previous invoices; please note that one invoice number is sufficient).

Information requests of customers received after 20 November 2014, 5 pm (CET) and/or payments received later than 24 November 2014, 5 pm (CET) cannot be processed anymore due to the limited handling capacity and the envisaged liquidation of all activities of SIS on very short notice.

Incomplete information requests of customers and/or information requests of customers received on any other email address can not be processed either.

Information requests will be processed in the order in which the requests and relevant (proofs of) payment of the Fee due have been received by the estate of SIS.

The SIS project team that processes the information requests is available until 1 December 2014. In order to enable this team to process as many information requests as possible, customers are urged to send in information requests and proof of payment as soon as possible after publication of this notice and therefore not to wait until 20 November 2014 in doing so.

The project team will do its utmost best to process all information requests as soon as possible and before 1 December 2014.

Applicable law and competent court

This notice and all services to be provided by (the estate of) SIS as referred to in this notice are subject to Netherlands law. Any disputes between (the estate of) SIS and any customer relating to this notice will be brought exclusively before the competent court of Amsterdam, the Netherlands.

By sending an information request in accordance with the procedure as set out above each customer accepts and confirms (towards (the estate of) SIS) that it is bound by this choice of law and competent court provision.

Contact with the trustee in bankruptcy

Any other questions in respect of the bankruptcy of SIS should **ONLY** be sent to: swets@cms-dsb.com.

J.L.M. Groenewegen,
Trustee in bankruptcy

ANNEX 1

Information to be provided to customers of entities of the Swets Group
(other than SIS)

Annex 1 - Example

CID	Billing Name	Billing Subname	Billing Street	Billing Zipcode (1)	Billing City	Billing Zipcode (2)	Billing Country	Shipping Name	Shipping Subname	Shipping Street
90000260000	Customer name	Customer sub name	Customer street	Customer zip code (1)	Customer city	Customer zip code (2)	Customer country	Customer name	Customer sub name	Customer street
90000260000	Customer name	Customer sub name	Customer street	Customer zip code (1)	Customer city	Customer zip code (2)	Customer country	Customer name	Customer sub name	Customer street
90000260000	Customer name	Customer sub name	Customer street	Customer zip code (1)	Customer city	Customer zip code (2)	Customer country	Customer name	Customer sub name	Customer street
90000260000	Customer name	Customer sub name	Customer street	Customer zip code (1)	Customer city	Customer zip code (2)	Customer country	Customer name	Customer sub name	Customer street
90000260000	Customer name	Customer sub name	Customer street	Customer zip code (1)	Customer city	Customer zip code (2)	Customer country	Customer name	Customer sub name	Customer street
90000260000	Customer name	Customer sub name	Customer street	Customer zip code (1)	Customer city	Customer zip code (2)	Customer country	Customer name	Customer sub name	Customer street

Annex 1 - Example

Shipping Zipcode (1)	Shipping City	Shipping Zipcode (2)	Shipping Country	Title	Publication type	Frequency	Subscription	Subscription status	Supply Direct Y/N	Reference 1	Reference 2
Customer zip code (1)	Customer city	Customer zip code (2)	Customer country	A	Journal	1	1049852	ACTIVE	Y	101	
Customer zip code (1)	Customer city	Customer zip code (2)	Customer country	B	Journal	6	21340293	ACTIVE	Y	301	
Customer zip code (1)	Customer city	Customer zip code (2)	Customer country	C	Journal	5	33309361	ACTIVE	Y	116	
Customer zip code (1)	Customer city	Customer zip code (2)	Customer country	D	Journal	2	25266228	ACTIVE	Y	113	
Customer zip code (1)	Customer city	Customer zip code (2)	Customer country	E	Journal	5	38944359	ACTIVE	Y		
Customer zip code (1)	Customer city	Customer zip code (2)	Customer country	F	EM non-physical	4	36886770	CANCELLED	Y		

Annex 1 - Example

Reference 3	Reference 4	Publisher reference print	Publisher reference electronic	Publisher Name	Publisher Subname	Publisher Street	Publisher Zipcode (1)	Publisher City	Publisher Zipcode (2)
	94007552			Publisher name	Publisher Subname	Publisher Street	Publisher Zipcode (1)	Publisher City	Publisher Zipcode (2)
	94006476	CLAV230		Publisher name	Publisher Subname	Publisher Street	Publisher Zipcode (1)	Publisher City	Publisher Zipcode (2)
	94008453	15887		Publisher name	Publisher Subname	Publisher Street	Publisher Zipcode (1)	Publisher City	Publisher Zipcode (2)
	3018833	FAC LH2011043		Publisher name	Publisher Subname	Publisher Street	Publisher Zipcode (1)	Publisher City	Publisher Zipcode (2)
	94009181	215008		Publisher name	Publisher Subname	Publisher Street	Publisher Zipcode (1)	Publisher City	Publisher Zipcode (2)
	6015844	99426		Publisher name	Publisher Subname	Publisher Street	Publisher Zipcode (1)	Publisher City	Publisher Zipcode (2)

Annex 1 - Example

Publisher Country	Subscription start	Subscription expiration date	Paid until	Publisher Invoice	Publisher Invoice Date
Publisher Country	19780101	20141231			
Publisher Country	19900101	20150831	20140831	SU00016824	20130916
Publisher Country	19880101	20150831	20140831	140809	20140303
Publisher Country	20031201	20141231	20141231	FACLH2013026	20130125
Publisher Country	19940801	20150831	20140831	140808	20140303
Publisher Country	20070701	20140630	20140630	PREPAYMENT	20130517