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# CMS corporate compliance

Working in partnership with you

2018



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## Overview

CMS in Hungary has a wealth of experience in helping clients to resolve their compliance issues. Our team of 12 experts have represented multinational corporations and leading local businesses in a wide variety of complex compliance reviews, internal and regulator-driven investigations, and criminal law cases in order to help clients mitigate risks and conduct business in an ethical way.

Whether you are from the construction, life sciences, technology, energy, financial services or automotive industries, our Compliance team has specialists with a deep understanding of your business. Some of our team members previously served as in-house counsels and compliance officers, and have experience and insight in the unique issues, challenges, and difficulties you may face. Other colleagues served as former investigators with the Hungarian Competition Office, and were ranking detectives in the Anti-Corruption and White Collar Crime Investigations Bureau of the Budapest Police Headquarters. Our team of experts can advise you on prevention as well as how to respond when compliance issues arise.

To best protect our clients and their top managers during any compliance or criminal proceedings, our team cooperates with the most prominent criminal-defence counsels in Hungary, whom we work with regularly.

### Work with CMS on prevention and when issue arise and you will have access to:

- the largest team in the country with the ability to allocate resources promptly
- over 10 years of in-house compliance expertise combined with in depth international and local know-how
- expert criminal lawyers
- full scale, tailored compliance trainings & mock dawn raids

## Clients we have served



**A German global retail and wholesale/cash and carry group** in a tax-based criminal proceeding by the Criminal Department of the Hungarian Tax Authority.



**A major Swedish financial services company active in the automotive sector** in a criminal law compliance investigation relating to the procurement of buses and coaches.



**One of the largest banks in Hungary** in connection with fraud allegations during high-volume liquidation proceedings.



**The world's largest ore mining company** in a whistleblowing case, fact-finding to uncover internal fraud, identify the fraudsters, and apply immediate employment and criminal law solutions, parallel with civil and procedural actions.



**A major Japanese pharmaceutical company** during an investigation for bribery and corruption.



**A major German global security solutions provider** in criminal proceedings relating to suspected fraud and embezzlement.



**A major energy company** comprehensive compliance training for the client's employees.



**Europe's leading entertainment company** on competition compliance audit focusing on their sales structure and distribution agreements.

# CMS, a strong partner in compliance

## How we can help

Our aim is to support you and your in-house legal and corporate finance teams gain a better understanding of key principles of compliance, and offer the best possible service on an ongoing basis and when investigations arise. We will show you the effective actions you should take in order to maintain control during critical times.

Training types	Topics covered		To whom
<b>1. General compliance trainings</b>	<ul style="list-style-type: none"> <li>— Define and develop key principles</li> <li>— Risk analysis: Identify the client's key risks, the consequences of non-compliance, and applicable rules &amp; industry standards</li> <li>— Roles and responsibilities: How to start? Who needs to be involved? Duties and responsibilities of in-house teams</li> <li>— Exploring core policies</li> </ul>		Tailored trainings to: <ul style="list-style-type: none"> <li>— all staff</li> <li>— legal teams</li> <li>— management</li> </ul>
<b>2. Specific compliance trainings</b>	<ul style="list-style-type: none"> <li>— What policies need to be reviewed and by whom? And how often?</li> <li>— How to make compliance part of your daily business</li> <li>— How to build a compliance culture</li> <li>— How and when to conduct audits or initiate compliance programmes</li> </ul>	Levels: <ul style="list-style-type: none"> <li>i) Basic training</li> <li>ii) Advanced training</li> </ul>	Tailored trainings to compliance officers
		Form of trainings: <ul style="list-style-type: none"> <li>i) one to one</li> <li>ii) in small groups</li> </ul>	
<b>3. Best practice workshop</b>	<ul style="list-style-type: none"> <li>— Sharing know-how and best practices</li> <li>— Discussing issues and solutions</li> <li>— Case studies</li> </ul>		Compliance officers



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